

<b>Set Up Fee</b>	\$25	per account set up
<b>Annual Account Fee*</b>		
IRA – Traditional (including SEP) and Roth	\$95	per year
All others	\$150	per year
<b>Annual Special Asset Fee*</b>		
Public/Traded Security, Mutual Fund, Government Security	\$0	no charge
REIT, non-traded Unit Trust, Warrants & Rights	\$24	annual fee, per holding
LP, LLC, Private Stock, BDC, Debenture	\$36	annual fee, per holding
Promissory Note, Coin, Brokerage Account, Water Contract, Liability & Other Miscellaneous Asset	\$48	annual fee, per holding
<b>Statement Fee</b>		
Electronic (available quarterly)	\$0	no charge
Annual Statement	\$5	per year
Quarterly Statement	\$10	per year
Monthly Statement	\$25	per year
<b>Purchase/Sale/Transfer/Distribution-in-Kind/Maturity</b>		
Public Asset	\$8	per transaction
Private Asset (non-note)	\$25	per transaction
Private Promissory Note	\$75	per transaction
<b>Distribution</b>		
ACH	\$0	no charge
Check	\$10	per check
Partial Cash Transfer	\$15	per transfer
<b>Corporate Activity</b>		
Depository Eligible Securities	\$10	per transaction
Non-Eligible Securities	\$25	per transaction
Proof of Claim	\$50	per claim
<b>Closing Fee</b>		
Before 1 <sup>st</sup> Anniversary	\$250	per account
After 1 <sup>st</sup> Anniversary	\$150	per account
<b>Other Fees</b>		
Recharacterization / Conversion - assets	\$50	per instance
Recharacterization / Conversion – cash only	\$25	per instance
Excess Contribution Removal	\$25	per instance
Note Payment – Non-Serviced	\$10	per principal payment
Overdraft and Return Check	\$30	per item
Special Delivery		
Certified Mail	\$7.50	per item
Overnight	\$25	per package
Wire Transfer Processing Fee	\$20	per wire
K-1 Mailing	\$5	per mailing
Statement and Tax Form Reprints	\$10	per item
Research/Special Services	\$50	per hour+\$25 reimbursement for mailing

\*Account fees for accounts opened July 1, 2013 and after are incurred annually on the anniversary of the set up date. Account fees for accounts opened prior to July 1, 2013 are incurred annually in July. Special asset fees are incurred annually in July. Neither account fees nor special asset fees are prorated.

*Invoices:* Mainstar Trust will mail invoices quarterly only to accounts with fees due. Payment is due within thirty (30) days.

*Asset Liquidation:* Mainstar Trust has the right to liquidate assets in an account if necessary to make distributions or to pay fees, expenses or taxes assessed against the account and, in such case, the account will incur the associated fee. In the absence of accountholder instruction as to which asset to liquidate, Mainstar Trust will decide in its complete and sole discretion which asset(s) to liquidate and the accountholder will hold Mainstar Trust harmless for any adverse consequences that result from its decision, per the terms and conditions of the account application.

*Please Note:* Unfunded accounts and accounts with zero value continue to incur fees until written instruction to close the account is received and accepted by Mainstar Trust. However, Mainstar Trust may, in its sole discretion, close any account with an account balance valued less than \$250, in which case the account will incur the closing fee.